

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. CAT/29US-SCROCO		SERIAL NO. 09/401,939	
LIST OF REFERENCES CITED BY APPLICANT  				APPLICANT Michael C. Scroggie et al.			
				FILING DATE September 23, 1999		GROUP 2761	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A	3,426,326	02/04/69	GOLDSTEIN			(Already made of record)
	B	3,737,634	06/05/73	HARRIS			(Already made of record)
	C	3,770,944	11/06/73	GEGHELE ET AL.			(Already made of record)
	D	3,771,132	11/06/73	BIEWER			(Already made of record)
	E	3,899,775	08/12/75	LARSEN			(Already made of record)
	F	4,002,886	01/11/77	SUNDELIN			(Already made of record)
	G	4,554,446	11/19/85	MURPHY ET AL.			(Already made of record)
	H	4,787,037	11/22/88	GOTSUKA			(Already made of record)
	I	4,843,546	06/27/89	YOSHIDA ET AL.			(Already made of record)
	J	4,887,208	12/12/89	SCHNEIDER ET AL.			(Already made of record)
	K	4,972,504	11/20/90	DANIEL, JR. ET AL.			(Already made of record)
	L	5,060,185	10/22/91	NAITO ET AL.			(Already made of record)
	M	5,119,294	06/02/92	TANAKA			(Already made of record)
	N	5,128,864	07/07/92	KAGAMI ET AL.			(Already made of record)
	O	5,168,445	12/01/92	KAWASHIMA ET AL.			(Already made of record)
	P	5,237,496	08/17/93	KAGAMI ET AL.			(Already made of record)
	Q	5,245,533	09/14/93	MARSHALL			(Already made of record)
	R	5,256,863	10/26/93	FERGUSON ET AL.			(Already made of record)
	S	5,305,199	04/19/94	LOBIONDO ET AL.			(Already made of record)
	T	5,315,093	05/24/94	STEWART			(Already made of record)
	U	5,331,544	07/19/94	LU ET AL.			(Already made of record)
	V	5,337,253	08/09/94	BERKOVSKY ET AL.			(Already made of record)
	W	5,367,452	11/22/94	GALLERY ET AL.			(Already made of record)
	X	5,377,095	12/27/94	MAEDA ET AL.			(Already made of record)
	Y	5,396,417	03/07/95	BURKS ET AL.			(Already made of record)
	Z	5,401,946	03/28/95	WEINBLATT			(Already made of record)
	AA	5,406,475	04/11/95	KOUGHI ET AL.			(Already made of record)
	AB	4,882,675	11/21/89	NIGHTBERGER ET AL.			(Already made of record)
	AC	4,949,256	08/14/90	HUMBLE			(Already made of record)
	AD	5,056,019	10/08/91	SCHULTZ ET AL.			(Already made of record)
	AE	5,249,044	09/28/93	VON KOHORN			(Already made of record)
	AF	5,285,278	02/08/94	HOLMAN			(Already made of record)
	AG	5,287,184	02/15/94	HOLMAN			(Already made of record)
	AH	5,347,632	09/13/94	FILEPP ET AL.			(Already made of record)
	AI	5,353,218	10/04/94	DE LAPA ET AL.			(Already made of record)
	AJ	5,380,991	01/10/95	VALENCIA ET AL.			(Already made of record)
	AK	5,459,386	10/17/95	STEIN ET AL.			(Already made of record)
	AL	5,483,049	01/09/96	SCHULZE, Jr.			(Already made of record)
	AM	5,687,322	11/11/97	DEATON ET AL.			(Already made of record)
Examiner		N/A			Date Considered		

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(Modified)U.S. DEPARTMENT OF COMMERCE  
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ATTY DOCKET NO.

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SERIAL NO.

09/401,939


 LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Michael C. Scroggie et al.

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2761

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AN	5,761,648	06/02/98	GOLDEN ET AL.			(Already made of record)
	AO	5,822,735	10/13/98	DE LAPA ET AL.			(Already made of record)
	AP	5,848,396	12/08/98	GERAGE			(Already made of record)
<i>Duplicate</i>	AQ	<del>5,710,888</del>	01/20/98	CHRISTENSEN ET AL.			(Cited in parent)
<i>Smg</i>	AR	3,959,624	5/25/76	KASLOW			
<i>Smg</i>	AS	4,419,573	12/06/83	VON GELDERN			
<i>Smg</i>	AT	4,833,308	05/23/89	HUMBLE			
<i>Smg</i>	AU	5,117,355	05/26/92	McCARTHY			
<i>Smg</i>	AV	5,128,752	07/07/92	VON KOHORN			
<i>Smg</i>	AW	5,173,851	12/22/92	OFF ET AL.			
<i>Smg</i>	AX	5,185,695	02/09/93	PRUCHNICKI			
<i>Smg</i>	AY	5,193,056	03/09/93	BOES			
<i>Smg</i>	AZ	5,227,874	07/13/93	VON KOHORN			
<i>Smg</i>	AAA	5,237,499	08/17/93	GARBACK			
<i>Smg</i>	AAB	5,287,268	02/15/94	McCARTHY			
<i>Smg</i>	AAC	5,305,197	04/19/94	AXLER ET AL.			
<i>Smg</i>	AAD	RE: 34,915	04/25/95	NICHTBERGER ET AL.			
<i>Smg</i>	AAE	5,420,606	05/30/95	BEGUM ET AL.			
<i>Smg</i>	AAF	5,448,471	09/95	DEATON ET AL.			
<i>Smg</i>	AAG	5,515,098	05/07/96	CARLES			
<i>Smg</i>	AAH	5,557,518	09/17/96	ROSEN			
<i>Smg</i>	AAI	5,557,721	09/17/96	FITE ET AL.			
<i>Smg</i>	AAJ	5,612,868	03/18/97	OFF ET AL.			
<i>Smg</i>	AAK	5,708,782	01/13/98	LARSON ET AL.			
<i>Smg</i>	AAL	5,754,939	05/19/98	HERZ ET AL.			
<i>Smg</i>	AAM	5,794,207	08/11/98	WALKER ET AL.			
<i>Smg</i>	AAN	5,857,175	01/99	DAY ET AL.			
<i>Smg</i>	AAO	5,884,278	03/16/99	POWELL			
<i>Smg</i>	AAP	5,887,271	03/23/99	POWELL			
<i>Smg</i>	AAQ	5,890,137	03/99	KOREEDA			
<i>Smg</i>	AAR	5,907,830	05/25/99	ENGEL			
<i>Smg</i>	AAS	5,909,673	06/01/99	GREGORY			
<i>Smg</i>	AAT	5,926,795	07/20/99	WILLIAMS			
<i>Smg</i>	AAU	5,933,811	08/03/99	ANGLES			
<i>Smg</i>	AAV	5,948,061	09/99	MERRIMAN ET AL.			
<i>Smg</i>	AAW	5,950,173	09/07/99	PERKOWSKI			
<i>Smg</i>	AAX	5,970,469	10/19/99	SCROGGIE			(Already made of record)
<i>Smg</i>	AAY	5,974,399	10/26/99	GIULIANI ET AL.			
<i>Smg</i>	AAZ	6,006,197	12/99	D'EON			

Examiner

*Steve Gharini*

Date Considered

*11-20-02*

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
SMG	ABA	6,009,411	12/28/99	KEPECS			
SMG	ABB	6,012,038	01/14/00	POWELL			
SMG	ABC	6,014,634	01/00	SCROGGIE ET AL.			
SMG	ABD	6,021,362	02/00	MAGGARD ET AL.			
SMG	ABE	6,026,370	02/00	JERMYN			
SMG	ABF	6,039,244	03/21/00	FINSTERWALD			
SMG	ABG	6,041,308	03/21/00	WALKER ET AL.			
SMG	ABH	6,041,309	03/21/00	LAOR			
SMG	ABI	6,049,778	04/11/00	WALKER ET AL.			
SMG	ABJ	6,055,513	04/25/00	KATZ ET AL.			
SMG	ABK	6,061,660	05/09/00	EGGLESTON ET AL.			
SMG	ABL	6,073,105	06/06/00	SUTCLIFFE ET AL.			
SMG	ABM	6,075,971	06/13/00	WILLIAMS ET AL.			
SMG	ABN	6,076,069	06/13/00	LAOR			
SMG	ABO	6,141,010	10/00	MARTIN DAVID HOYLE			
SMG	ABP	6,230,143	05/08/01	SIMONS ET AL.			
SMG	ABQ	6,266,649 B1	07/24/01	LINDEN ET AL.			
SMG	ABR	6,185,541	02/06/01	SCROGGIE			
SMG	ABS	4,567,359	01/28/96	Lockwood			
SMG	ABT	4,669,730	06/02/87	Small			
SMG	ABU	4,674,041	06/16/87	Lemon et al			
SMG	ABV	4,723,212	02/02/88	Mindrum et al			
SMG	ABW	4,752,675	06/21/88	Zetmeir			
SMG	ABX	4,791,281	12/13/88	Johnsen et al			
SMG	ABY	4,876,592	10/24/89	Von Kohorn			
SMG	ABY	4,908,761	03/13/90	Tai			
SMG	ACA	4,937,742	06/26/90	Marshall			
SMG	ACB	4,982,346	01/01/91	Girouard et al			
SMG	ACC	5,034,807	07/23/91	Von Kohorn			
SMG	ACD	5,093,718	03/03/92	Hoarty et al			
SMG	ACE	5,176,224	01/05/93	Spector			
SMG	ACF	5,192,854	03/09/93	Counts			
SMG	ACG	5,198,644	03/30/93	Pfeiffer et al			
SMG	ACH	5,202,826	04/13/93	McCarthy			
SMG	ACI	5,260,778	11/09/93	Kauffman et al			
SMG	ACJ	5,305,195	04/19/94	Murphy			
	ACK	5,310,997	05/10/94	Roach et al.			
Examiner <i>Steve Gharini</i>				Date Considered <i>11-20-02</i>			

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SMG	ACL	5,368,129	11/29/94	Von Kohorn	—	
SMG	ACM	5,446,919	08/29/95	Wilkins	—	
SMG	ACN	5,490,060	02/06/96	Malec et al	—	
SMG	ACO	5,491,838	02/13/96	Takahisa et al	—	
SMG	ACP	5,502,636	03/26/96	Clarke	—	
SMG	ACQ	5,504,519	04/02/96	Remillard	—	
SMG	ACR	5,504,675	04/02/96	Cragun et al	—	
SMG	ACS	5,508,731	04/16/96	Kohorn	—	
SMG	ACT	5,564,073	10/08/96	Takahisa	—	
SMG	ACU	5,577,266	11/19/96	Takahisa et al	—	
SMG	ACV	5,579,537	11/26/96	Takahisa	—	
SMG	ACW	5,584,025	12/10/96	Keithley et al	—	
SMG	ACX	5,592,378	01/07/97	Cameron et al	—	
SMG	ACY	5,592,560	01/07/97	Deaton et al	—	
SMG	ACZ	5,594,493	01/14/97	Nemirofsky	—	
SMG	ADA	5,604,542	02/18/97	Dedrick	—	
SMG	ADB	5,612,527	03/18/97	Ovadia	—	
SMG	ADC	5,612,730	03/18/97	Lewis	—	
SMG	ADD	5,621,812	04/15/97	Deaton et al	—	
SMG	ADE	5,636,346	06/03/97	Saxe	—	
SMG	ADF	5,638,457	06/10/97	Deaton et al	—	
SMG	ADG	5,642,484	06/24/97	Harrison, III et al	—	
SMG	ADH	5,642,485	06/24/97	Deaton et al	—	
SMG	ADI	5,644,723	07/01/97	Deaton et al	—	
SMG	ADJ	5,649,114	07/15/97	Deaton et al	—	
SMG	ADK	5,659,469	08/19/97	Deaton et al	—	
SMG	ADL	5,661,517	08/26/97	Budow et al	—	
SMG	ADM	5,664,110	09/02/97	Green et al	—	
SMG	ADN	5,675,662	10/07/97	Deaton et al	—	
SMG	ADO	5,679,938	10/21/97	Templeton et al	—	
SMG	ADP	5,679,940	10/21/97	Templeton et al	—	
SMG	ADQ	5,689,100	11/18/97	Carrithers et al	—	
SMG	ADR	5,697,844	12/16/97	Von Kohorn	—	
SMG	ADS	5,701,252	12/23/97	Facchin et al	—	
SMG	ADT	5,724,521	03/03/98	Dedrick	—	
SMG	ADU	5,734,823	03/31/98	Saigh et al	—	
SMG	ADV	5,734,838	03/31/98	Robinson et al	—	
SMG	ADW	5,754,938	05/19/98	Herz et al	—	
	ADX	5,758,257	05/26/98	Herz et al	—	

Examiner

Steve Gavin

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SMG	ADU	5,759,101	06/02/98	Von Kohorn			
SMG	ADZ	5,774,868	06/30/98	Cragun et al			
SMG	AEA	5,774,870	06/30/98	Storey			
SMG	AEB	5,791,991	08/11/98	Small			
SMG	AEC	5,794,210	08/11/98	Goldhaber et al			
SMG	AED	5,806,044	09/08/98	Powell			
SMG	AEE	5,809,242	09/15/98	Shaw et al			
SMG	AEF	5,812,668	09/22/98	Weber			
SMG	AEC	5,815,657	09/29/98	Williams et al			
SMG	AEH	5,832,457	11/03/98	O'Brien et al			
SMG	AEI	5,832,458	11/03/98	Jones			
SMG	AEJ	5,832,459	11/03/98	Cameron et al			
SMG	AEK	5,838,790	11/17/98	McAuliffe et al			
SMG	AEL	5,839,117	11/17/98	Cameron et al			
SMG	AEM	5,845,259	12/01/98	West et al			
SMG	AEN	5,850,446	12/15/98	Berger et al			
SMG	AEO	5,855,007	12/29/98	Jovicic et al			
SMG	AEP	5,855,008	12/29/98	Goldhaber et al			
SMG	AEQ	RE 36,116	02/23/99	McCarthy			
SMG	AER	5,889,863	03/30/99	Weber			
SMG	AES	5,892,827	04/06/99	Beach et al			
SMG	AET	5,901,287	05/04/99	Bull et al			
SMG	AEU	5,903,874	05/11/99	Leonard et al			
SMG	AEV	5,905,246	05/18/99	Fajkowski			
SMG	AEW	5,907,831	05/25/99	Lotvin et al			
SMG	AEX	5,909,023	06/01/99	Ono et al			
SMG	AEY	5,915,243	06/22/99	Smolen			
SMG	AEZ	5,916,024	06/29/99	Von Kohorn			
SMG	AFA	5,918,211	06/29/99	Sloane			
SMG	AFB	5,918,213	06/29/99	Bernard et al			
SMG	AFC	5,923,016	07/13/99	Fredregill et al			
SMG	AFD	5,924,080	07/13/99	Johnson			
SMG	AFE	5,931,917	08/03/99	Nguyen			
SMG	AFF	5,943,424	08/24/99	Berger et al			
SMG	AFG	5,948,040	09/07/99	DeLorme et al			
SMG	AFH	5,963,924	10/05/99	Williams et al			
SMG	AFI	5,974,396	10/26/99	Anderson et al			
SMG	AFJ	5,978,013	11/02/99	Jones et al			
SMG	AFK	5,978,840	11/02/99	Nguyen et al			
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SMK	AFL	5,979,757	11/09/99	Tracy et al			
SMK	AFM	5,983,196	11/09/99	Wendkos			
SMK	AFN	5,983,208	11/09/99	Haller et al			
SMK	AFO	5,987,132	11/16/99	Rowney			
SMK	AFP	5,995,942	11/30/99	Smith et al			
SMK	AFQ	5,996,076	11/30/99	Rowney et al			
SMK	AFR	6,002,394	12/14/99	Schein et al			
SMK	AFS	6,002,767	12/14/99	Kramer			
SMK	AFT	6,002,771	12/14/99	Nielsen			
SMK	AFU	5572643	11/05/98	Judson			
SMK	AFV	5701451	12/23/97	Rogers et al.			
SMK	AFW	5706507	1/6/98	Schloss			
SMK	AFX	5712979	1/27/98	Graber et al.			
SMK	AFY	5715399	2/3/98	Bezos			
SMK	AFZ	5717860	2/10/98	Graber et al.			
SMK	AGA	5740549	4/13/98	Reilly et al.			
SMK	AGB	5752246	5/12/98	Rogers et al.			
SMK	AGC	6761648	6/2/98	Golden et al.			
SMK	AGD	5812769	9/22/98	Graber et al.			
SMK	AGE	5878222	3/2/99	Harrison			
SMK	AGF	5956027	9/21/99	Krishnamurthy			
SMK	AGG	5812776	9/98	Gifford			
SMK	AGH	5884309	3/99	Vanechanros, Jr.			
SMK	AGI	5914712	6/99	Sartain et al.			
SMK	AGJ	6055573	4/2000	Gardenswartz et al.			
SMK	AGK	5721908	2/1998	Largarde et al.			
SMK	AGL	5970472	10/99	Allsop, et al.			
SMK	AGM	6047263	4/00	Goodwin, III.			
SMK	AGN	6029142	2/00	Hill			
SMK	AGO	6026369	2/00	Capek			
SMK	AGP	6026368	2/00	Brown et al.			
SMK	AGQ	6009410	12/99	LeMole et al.			
SMK	AGR	5999912	12/99	Wodarz, et al.			
SMK	AGS	5999914	12/99	Blinn et al.			
SMK	AGT	5995015	11/99	DeTemple, et al.			
SMK	AGU	5992888	11/99	North et al.			
SMK	AGV	5987504	11/99	Toga			
SMK	AGW	5982891	4/00	Ginter, et al.			
SMK	AGX	5918014	6/29/99	Robinson			

Examiner <i>Steve Shavrin</i>	Date Considered 11-20-02
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SMG	AGY 5923552	7/13/99	Brown et al.			
SMG	AGZ 5870723	2/99	Paer, Jr. et al.			
SMG	AHA 6012039	1/00	Hoffman et al.			
SMG	AHB 5388165	2/99	Deaton et al.			
SMG	AHC 6076068	6/00	DeLapa et al.			
SMG	AHD 6237145	5/22/01	Narasimhan et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO
SMG	AHE WO 94/27231	11/94	PCT	
SMG	AHF WO 99/12115	03/11/99	PCT	
no translation	AHG 0-512-509	5/6/02	France (Cited in parent)	
	AHI 08-116361	6/7/06	Japan	
	AHI 09-231203	9/5/97	Japan	
	AHJ 07-306654	11/12/05	Japan	
	AHK 10-143563	5/29/98	Japan	
	AHL 10-187320	7/14/98	Japan	
SMG	AHM 0512509B1	11/92	Europe	
no translation	AHN WO 98/18093	4/30/98	WIPO	
SMG	AHO WO 97/31322	8/28/97	WIPO	
duplicate	AHP WO 97/427,231 A	11/94	US	
SMG	AHQ EP 0822535 A2	2/4/98	Europe	
	AHR 0-512-509 A2	44/11/92	Europe (Already made of record)	
	AHS WO 93/15466	08/05/93	PGF (Already made of record)	
	AHT WO 95/16974	06/22/95	PGF (Already made of record)	
	AHU WO 97/23838	07/03/97	PGF (Already made of record)	

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## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

no date	AHV	Article, "Trading scissors for Modems," Interactive Media & Marketing, 3 pp., date unknown.
SMG	AHW	Newspaper article internet printout; "Checking Out the Customer; New Technology Can Give Stores Detailed Knowledge About Buyers' Habits," The Washington Post, July 9, 1989, 8 pp.
SMG	AHX	Magazine article internet printout; "Coupon Clippers, save your scissors," Business Week, June 20, 1994, 3 pp.
SMG	AHY	Newspaper article internet printout; "American Express Applies for a New Line of Credit," The New York Times, July 30, 1995, 10 pp.
	AHZ	June 15, 1989, "In this Computer Age, Who Needs Coupons?" The New York Times: (Already made of record)

Examiner

Steve Shavrin

Date Considered 11-20-02

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. CAT/29US-SCROCO		SERIAL NO. 09/401,939	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Michael C. Scroggie et al.			
				FILING DATE September 23, 1999		GROUP 2761	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	ATA	December 1992, "Interactive Cable System Receives Strong Response" Direct Marketing, pp. 9-10: (Already made of record)					
	ATB	May 9, 1994, "SLED Internet Directory Distributes Electronic Coupons" PR Newswire: (Already made of record)					
SMG	AIC	May 16, 1994 "SLED InterNIC Debut Internet Services" PC Week p. 130.					
	ATD	May 1994, David Bank "Email Marketing Firm Using Discount Strategy" San Jose Mercury News: (Already made of record)					
	AIE	September 25, 1995, Kelly Shermach "Electronic Coupon Program Offers Database Potential" Marketing News: (Already made of record)					
SMG	AIF	December 8, 1995, H.G. Lewis "Cruising Down the Hype-er Space Road: How to Write Copy for the (GULP!) Internet" Direct Marketing, Vol. 58 No. 8, pages 14-15.					
	ATG	February 11, 1996, Catalina Marketing Online Launch to Include More than 1,600 California Stores" Catalina Marketing Corporation Newsletter: (Already made of record)					
	AIH	April 19, 1996, "San Jose Customers Can Now Plan Supermarket Shopping in Cyberspace - New Internet Service Saves Shoppers Time and Money" Catalina Marketing Corporation Newsletter: (Already made of record)					
	AII	April 19, 1996, "Cruising for Food Savings on the Internet" San Diego Union-Tribune, p. C4: (Already made of record)					
	AIJ	June 1, 1996, Laurie Peterson, "Click Here for Coupons" Direct p. 45: (Already made of record)					
	AIK	June 13, 1996, "PNC Bank Announces Internet Site, Plans Comprehensive Service Expansion" Business Wire: (Already made of record)					
	AIL	November 4, 1996, John Fontana "2nd 'Net Bank Opens for Business" Communicationsweek p. 46: (Already made of record)					
SMG	AIM	April 1997, R. Resnick, "The Case for 'Opt In' Marketing on the Internet" Direct Marketing, Vol. 59, No. 12 pp. 52-53.					
	AIN	August 5, 1997, "Internet Goupon Security Breakthrough Removes Major Obstacle to Online Packaged Goods Advertising" SuperMarkets Online: (Already made of record)					
	AIO	December 1, 1997, "Internet and Food Industries Embrace Secure Online Goupon Format" SuperMarkets Online: (Already made of record)					
	AIP	September 30, 1998, "IntelliQuest and CoolSavings Offer Innovative Online Customer Relationship Management Program for Technology Vendors" Business Wire: (Already made of record)					
	AIQ	October 20, 1998, "IntelliQuest Looks for Interaction with Loyalty, Web Traffic Programs" Electronic Advertising & Marketplace Report: (Already made of record)					
no Copy	AIR	March 1999, www.freesamples.com. Reprinted on October 03, 2001, by Rebecca Brimmer, since the original copy could not be found.					
	AIS	Gerlene A. Thissen, "Clearing Up Questions in Coupons Clearing" (Already made of record)					
Examiner				Date Considered			

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. CAT/29US-SCROCO		SERIAL NO. 09/401,939		
LIST OF REFERENCES CITED BY APPLICANT  AUG 09 2002 PATE & TRADEMARK OFFICE				APPLICANT Michael C. Scroggie et al.				
				FILING DATE September 23, 1999		GROUP 2761		
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
no copy	AIT	International Search Report for PCT/US96/20497, International Filing Date 23/12/1996, Priority Date 26/12/1995.						
SM	AIU	International Search Report for PCT/US01/19204, International Filing Date 15/06/2001, Priority Date 19/06/2000.						
no date	AIV	<del>Plaintiffs Exhibit # 63, Notice of Subpoena Duces Tecum, Case No. CIV 00-01714 RSWL (JWJx)</del>						
↓	AIW	<del>Deposition Exhibit # 6, Notice of Deposition of Coolsavings.com, Inc. Pursuant to F.R.C.P. 30(b)(6), Case No. CV 00-01714 RSWL (JWJx)</del>						
	AIX	<del>Deposition Exhibit # 2, Notice of Deposition of Coolsavings.Com, Inc. Pursuant to F.R.C.P. 30(b)(6), Case No. CV 00-01714 RSWL (JWJx)</del>						
	AIY	<del>Order Staying Proceedings Pending Reexamination, Case No. CV 00-01714 (JWJx)</del>						
	AIZ	<del>Complaint For Patent Infringement - 35 U.S.C. §§ 271, 281, 283, 284 and 285, CV 00-01714</del>						
	AJA	<del>Coolsavings' Answer and Counterclaim to Complaint, Case No. 00-01714 RSWL</del>						
	AJB	<del>Supermarkets Online's Reply to Counterclaim, Case No. 00-01714 RSWL</del>						
↓	AJC	<del>Coolsavings' Supplemental Answers and Objections to Supermarkets Online, Inc.'s Interrogatories Nos. 1-5, Case No. 00-01714 (JWJx)</del>						
	AJD	<del>Coolsavings' Supplemental Responses and Objections Supermarkets Online Inc.'s Second Set of Interrogatories Nos. 6-17, Case No. 00-01714 RSWL (JWJx)</del>						
	AJE	Letter from Russell J. Genet at Jenkins & Gilchrist, to the USPTO dated October 17, 2001.						
no transition	AJF	<del>Nikkei Multimedia for Business (Sept. 1998) No. 38, pages 66-71.</del>						
↓	AJG	<del>Nikkei Multimedia for Business (March 1998) No. 32, pages 118-123.</del>						
	AJH	Nikkei PC21, Vol. 3, No. 10, pages 228-231, Nikkei PB, 1998.						
no copy	AJI	Wilbert, Tony "Now Shoppers Can Clip Coupons Off the Internet" Atlanta Business Chronicle						
not a publication	AJJ	<del>Email from Mike Kacaba Regarding Phase One Requirements Document Version 1.0, dated September 19, 1995 and Draft Requirements Document Attached to that Email</del>						
Examiner		Steve Gharini			Date Considered			11-20-02

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
7791-0087-25 CONTSERIAL NO. 07/40193  
NEW CONTINUATION  
APPLICATION

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

MICHAEL C. SCROGGIE ET AL.

FILING DATE

HEREWITH

GROUP

3622  
2785 (ANTICIPATED)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
SMS	A	3,426,326	02/04/69	GOLDSTEIN			
SMS	B	3,737,631	06/05/73	HARRIS			
SMS	C	3,770,941	11/06/73	GECHELE ET AL.			
SMS	D	3,771,132	11/06/73	BIEWER			
SMS	E	3,899,775	08/12/75	LARSEN			
SMS	F	4,002,886	01/11/77	SUNDELIN			
SMS	G	4,554,446	11/19/85	MURPHY ET AL.			
SMS	H	4,787,037	11/22/88	OOTSUKA			
SMS	I	4,843,546	06/27/89	YOSHIDA ET AL.			
SMS	J	4,887,208	12/12/89	SCHNEIDER ET AL.			
SMS	K	4,972,504	11/20/90	DANIEL, JR. ET AL.			
SMS	L	5,060,185	10/22/91	NAITO ET AL.			
SMS	M	5,119,294	06/02/92	TANAKA			
SMS	N	5,128,861	07/07/92	KAGAMI ET AL.			
SMS	O	5,168,445	12/01/92	KAWASHIMA ET AL.			
SMS	P	5,237,496	08/17/93	KAGAMI ET AL.			
SMS	Q	5,245,533	09/14/93	MARSHALL			
SMS	R	5,256,863	10/26/93	FERGUSON ET AL.			
SMS	S	5,305,199	04/19/94	LOBIONDO ET AL.			
SMS	T	5,315,093	05/24/94	STEWART			
SMS	U	5,331,544	07/19/94	LU ET AL.			
SMS	V	5,337,253	08/09/94	BERKOVSKY ET AL.			
SMS	W	5,367,452	11/22/94	GALLERY ET AL.			
SMS	X	5,377,095	12/27/94	MAEDA ET AL.			
SMS	Y	5,396,417	03/07/95	BURKS ET AL.			
SMS	Z	5,401,946	03/28/95	WEINBLATT			
SMS	AA	5,406,475	04/11/95	KOUCHI ET AL.			
SMS	AB	4,882,675	11/21/89	NICHTBERGER ET AL			
SMS	AC	4,949,256	08/14/90	HUMBLE			
SMS	AD	5,056,019	10/08/91	SCHULTZ ET AL			
SMS	AE	5,249,044	09/28/93	VON KOHORN			
SMS	AF	5,285,278	02/08/94	HOLMAN			
SMS	AG	5,287,181	02/15/94	HOLMAN			
SMS	AH	5,347,632	09/13/94	FILEPP ET AL			
SMS	AI	5,353,218	10/04/94	DE LAPA ET AL			
SMS	AJ	5,380,991	01/10/95	VALENCIA ET AL			
SMS	AK	5,459,306	10/17/95	STEIN ET AL			
SMS	AL	5,483,049	01/09/96	SCHULZE, Jr.			
SMS	AM	5,687,322	11/11/97	DEATON ET AL			
SMS	AN	5,761,648	06/02/98	GOLDEN ET AL			
SMS	AO	5,822,735	10/13/98	DE LAPA ET AL			
SMS	AP	5,848,396	12/08/98	GERACE			
SMS	AQ	5,710,886	01/20/98	CHRISTENSEN ET AL.			

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		PATENT SCKET NO. 7791-0088-25 DIV		SERIAL NO. <i>09/401 935</i> NEW DIVISIONAL APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>MICHAEL C. SCROGGIE ET AL.</b>			
				FILING DATE HEREWITH		GROUP <i>3622</i> <i>2765</i> (ANTICIPATED)	
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY.	TRANSLATION YES NO		
<i>SMA</i>							
	AR	0 512 509 A2	11/11/92	Europe	XX		
<i>SMA</i>	AS	WO 93/15466	08/05/93	PCT	XX		
<i>SMA</i>	AT	WO 95/16971	06/22/95	PCT	XX		
<i>SMA</i>	AU	WO 97/23838	07/03/97	PCT	XX		
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
<i>SMA</i>	AV	In this Computer Age, Who Needs Coupons?, The New York Times, June 15, 1989. <sup>1</sup>					
<i>SMA</i>	AW	Interactive Cable System Receives Strong Response, Direct Marketing, pgs. 9-10, December 1992.					
<i>SMA</i>	AX	SLED Internet Directory Distributes Electronic Coupons, PR Newswire, May 9, 1994.					
<i>SMA</i>	AY	PNC Bank Announces Internet Site, Plans Comprehensive Service Expansion, Business Wire, June 13, 1996.					
<i>SMA</i>	AZ	Article. by Carlene A. Thissen. Clearing Up Questions in Coupon Clearing. (source and date unknown)					
<i>SMA</i>	AAA	2nd 'Net Bank Opens for Business, John Fontana, Communicationsweek, p. 46, November 4, 1996.					
<i>SMA</i>	AAB	IntelliQuest and Coolsavings Offer Innovative Online Customer Relationship Management Program for Technology Vendors, Business Wire, September 30, 1998.					
<i>SMA</i>	AAC	IntelliQuest Looks for Interaction with Loyalty, Web Traffics Programs, Electronic Advertising & Marketplace Report, October 20, 1998.					
<i>SMA</i>	AAD	Catalina Marketing Corporation News Letter : Catalina Marketing Online Launch to Include More than 1.600 California Stores, February 11, 1996					
<i>SMA</i>	AAE	Catalina Marketing Corporation News Letter: San Jose Consumers Can Now Plan Supermarket Shopping in Cyberspace -New Internet Service Saves Shoppers Time and Money-April 19, 1996					
<i>SMA</i>	AAF	SuperMarkets Online: Internet Coupon Security Breakthrough Removes Major Obstacle to On-line Packaged Goods Advertising August 5, 1997					
<i>SMA</i>	AAG	SuperMarkets Online: Internet and Food Industries Embrace Secure Online Coupon Format, December 1, 1997					
<i>SMA</i>	AAH	Electronic Coupon Program Offers Data Base Potential, Kelly Shermach, Marketing News, September 25, 1995 (3 pages).					
Examiner <i>Steve Gornie</i>					Date Considered <i>7-15-02</i>		
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							

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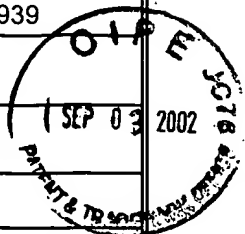
<sup>1</sup>Date is assumed based on date below the Space Shuttle picture.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. CAT/29US- SCROCO		SERIAL NO. 09/401,939	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT MICHAEL SCROGGIE, et al.			
				FILING DATE 9/23/99		GROUP 3622	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
SMG	AA	4,672,377	6/9/87	Murphy et al.	340	825.34	
SMG	AB	4,673,802	6/16/87	Ohmae et al.	235	379	
SMG	AC	4,747,050	5/24/88	Bracht et al.	364	408	
SMG	AD	4,855,908	8/8/89	Shimoda et al.	364	405	
SMG	AE	4,862,350	8/29/89	Orr et al.	364	200	
SMG	AF	5,025,372	6/18/91	Burton et al.	364	406	
SMG	AG	5,208,742	5/4/93	Warn	364	131	
SMG	AH	5,253,345	10/12/93	Fernandes et al.	395	275	
SMG	AI	5,297,026	3/22/94	Hoffman	364	408	
SMG	AJ	5,513,102	4/30/96	Auriemma	364	408	
SMG	AK	5,515,270	5/7/96	Weinblatt	364	405	
SMG	AL	5,537,314	7/16/96	Kanter	364	406	
SMG	AM	5,806,045	9/8/98	Biorge et al.	705	14	
SMG	AN	5,859,414	1/12/99	Grimes et al.	235	383	
SMG	AO	5,864,825	1/26/99	Kobayashi et al.	705	24	
SMG	AP	5,982,892	11/9/99	Hicks et al.	380	4	
SMG	AQ	6,105,002	8/15/00	Powell	705	14	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
*	AR	Notification of Reasons of Refusal for JP published application No. 2000-99579 by Gardenzwartz et al. dated Nov. 2, 1999.					
Examiner	Steve Gervin				Date Considered 11-20-02		

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\* no copy per mpep 609



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